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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|--|-------------|----------------------|---------------------|-----------------|
| 09/995,358 | 11/26/2001 | Jules Zecchino | 2870/566 | 2755 |
| 7590 12/29/2005 | | | EXAM | INER |
| KAREN A. LOWNEY, ESQ. | | | FUBARA, BLESSING M | |
| ESTEE LAUDER COMPANIES 125 PINELAWN ROAD | | | ART UNIT | PAPER NUMBER |
| MELVILLE, NY 11747 | | | 1618 | |

DATE MAILED: 12/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) ZECCHINO ET AL. | |
|--|----------------------------|-------------------------------|--|
| Notification of Non-Compliant Appeal Brief | 09/995,358 | | |
| (37 CFR 41.37) | Examiner | Art Unit | |
| | Blessing M. Fubara | 1618 | |
| The MAILING DATE of this communication app | ears on the cover sheet wi | th the correspondence address | |

The Appeal Brief filed on <u>28 February 2005</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

| 1. 🛚 | The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order. |
|------|---|
| 2. 🗌 | The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). |
| 3. 🗌 | At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). |
| 4. 🗌 | (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). |
| 5. 🗌 | The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) |
| 6. 🗌 | The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)). |
| 7. | The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)). |
| 8. 🗌 | The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal , along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). |
| 9. 🗌 | The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)). |
| 10.🛛 | Other (including any explanation in support of the above items): |
| | See attached. |

Application/Control Number: 09/995,358

Art Unit: 1618

NOTIFICATION OF NON-COMPLIANCE WITH THE REQUIREMENTS OF 37 CFR 41.37(c)

The brief does not contain the items of the brief required by 37 CFR 41.37(c)(1) under the appropriate headings and/or in the order indicated.

A review of the application reveals that the following sections are missing from the Appeal Brief filed:

- (1) "Summary of the claimed subject matter" as set forth in 37 CFR § 41.37(c)(1)(v);
- (2) "Grounds of rejection to be reviewed on appeal" as set forth in 37 CFR § 41.37(c)(1)(vi) (replaces "Issues for Review" and "Grouping of Claims");
 - (3) "Evidence appendix", as set forth in 37 CFR § 41.37(c)(1)(ix); and
 - (4) "Related proceedings appendix" as set forth in 37 CFR § 41.37 (c)(1)(x).

Accordingly, the brief filed 02/28/2004 does not comply with the new rules under 37 CFR § 41.37 (c). A substitute brief that is in compliance with 37 CFR § 41.37(c) is required. For more information on the Board's new rules, please see the web page entitled "More Information on the Rules of Practice Before the BPAI," Final Rule at:

http://www.uspto.gov/web/offices/dcomp/bpai/fr2004/moreinfo.html

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Blessing M. Fubara whose telephone number is (571) 272-0594. The examiner can normally be reached on 7 a.m. to 3:30 p.m. (Monday to Friday).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Hartley can be reached on (571) 272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Blessing Fubara Patent Examiner

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The opinion in support of the decision being entered today was <u>not</u> written for publication and is <u>not</u> binding precedent of the Board.

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

MAILED

DEC 2 0 2005

Ex parte JULES ZECCHINO, MICHELLE MATATHIA, E. ALTHEA KNIGHT and JAMES T. HARRISON U.S. PATENT AND TRADEMARK OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES

Application No. 09/995,358

ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was electronically received at the Board of Patent Appeals and Interferences on October 5, 2005. A review of the application has revealed that the application is not ready for docketing as an appeal. Accordingly, the application is herewith being returned to the examiner. The matters requiring attention prior to docketing are identified below:

A review of the file indicates that on February 28, 2005, appellants filed an Appeal Brief under the rules set forth in 37 CFR §1.192(c). However, the rules under

37 CFR §1.192 (c) were abolished on September 13, 2004, and replaced by 37 CFR § 41.37(c) which states in part:

(c)(1) The brief shall contain the following items under appropriate headings and in the order indicated in paragraphs (c)(1)(i) through (c)(1)(x) of this section, except that a brief filed by an appellant who is not represented by a registered practitioner need only substantially comply with paragraphs (c)(1)(i) through (c)(1)(iv) and (c)(1)(vii) through (c)(1)(x) of this section:

A review of the application reveals that the following sections are missing from the Appeal Brief:

- (1) "Summary of the claimed subject matter" as set forth in 37 CFR § 41.37(c)(1)(v);
- (2) "Grounds of rejection to be reviewed on appeal" as set forth in 37 CFR § 41.37(c)(1)(vi) (replaces "Issues for Review" and "Grouping of Claims");
 - (3) "Evidence appendix, as set forth in 37 CFR § 41.37(c)(1)(ix); and
 - (4) "Related proceedings appendix" as set forth in 37 CFR § 41.37 (c)(1)(x).

A substitute brief that is in compliance with 37 CFR § 41.37(c) is required. For more information on the Board's new rules, please see the web page entitled "More Information on the Rules of Practice Before the BPAI," Final Rule at:

http://www.uspto.gov/web/offices/dcom/bpai/fr2004/moreinfo.html
Accordingly, it is

ORDERED that the application is returned to the examiner to:

hold the Appeal Brief filed on February 28, 2005 defective;

- have the appellants submit a substitute Appeal Brief in compliance with the new rules set forth in 37 CFR § 41.37 (c);
- submit a revised Examiner's Answer in response to the substitute Appeal

 Brief for compliance with the new rules effective September 13, 2004, and;

• for such further action as may be appropriate.

BOARD OF PATENT APPEALS AND INTERFERENCES

Craig R. Feinberg

Program and Resource Administrator

(571) 272-9797

CRF/cam

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